

REC'D 0 4 JUN 2004

IPO PCT

THICK UNIVERSITY OF A VERRICAN

TO ALL TO WHOM THESE: PRESENTS: SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office

June 01, 2004

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE.

APPLICATION NUMBER: 60/463,465

FILING DATE: April 16, 2003

P1 1177019

RELATED PCT APPLICATION NUMBER: PCT/US04/11576

By Authority of the COMMISSIONER OF PATENTS AND TRADEMARKS

COM

N. WOODSON
Certifying Officer

PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) OR (b)

IN THE U.S. PATENT AND TRADEMARK OFFICE | Provisional Application Cover Sheet

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

This is a request for filing a PROVISIONAL APPLICATION under 37 CFR 1.53 (b)(2).

	invi	ENTOR(s)/APPLICANT(s)
Last Name	First Name, MI	Residence (City and Either State or Foreign Country)
Timpe Aulich	Ronald Ted	Grand Forks, ND Grand Forks, ND
	TITL	E OF THE INVENTION
PROCESS	FOR PRODUCING HIGH-PRESSURI	E HYDROGEN
	CORRI	ESPONDENCE ADDRESS
Cus	tomer Number 30163	30163 PATENT TRADEMARK OFFICE
	ENCLOSED APPL	ICATION PARTS (check all that apply)
	Drawing(s) Number of Pa Power of Attorney	ges: 6 (including cover page) (X) Small Entity Status Claimed ges: 1 (included with Specification) on separately numbered sheets attached hereto.
	MI	THOD OF PAYMENT
	Credit Card Payment Form in the a	mount of \$80.00 to cover the filing fee is enclosed. Respectfully submitted,
Date of Depos I hereby certif Postal Service 37 CFR 1 . 1 (it: Sy that this is being deposited with the United Express Mail Post Office to Addressee' ser on the date indicated above and is addresse minissorier for Patents, Box Provisional App D.C. 10231	vice under ded to the Date: 4-16-03

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0551-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

•	Complete if Known							
FEE TRANSMITTAL	4	Application Number TBD						
						16/2003		
for FY 2001		First Named Inventor Ror			Rona	ald Timpe		
Patent fees are subject to annual revision.		Examin	er Nar	ne				
		Group Art Unit						
TOTAL AMOUNT OF PAYMENT \$80.00		Attorne	y Docl	ket No.	EER.	P0017P		
METHOD OF PAYMENT				FEE C	ALCULATION	ON (continue	ed)	
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. Al	3. ADDITIONAL FEES						
Deposit Account Number	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)		Fee Description Fee Paid		
Deposit Account Name	105	130	205	65	Surcharge - lat	te filing lee or cath	٠.	\$0
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status.	127	50	227	25	cover sheet			
See 37 CFR 1.27	139	130	139	130	Non-English sp	on-English specification		
Payment Enclosed:	147	2,520	147	2,520	• •	uest for <i>ex parte</i> re		\$0
☐ Check ☑ Credit Card ☐ Money ☐ Other	112	920*	112	920*	Requesting pu Examinar action	blication of SIR prior	or to	\$0
FEE CALCULATION	113	1,840*	113	1,840*		equesting publication of SIR after to the same same same same same same same sam		
1. BASIC FILING FEE Leroe Entity Street	115	110	215	55	Extension for a	ixtension for reply within first month		
Large Entity Small Entity Fee Fee Fee Fee Description Fee Paid Codé (\$) Code (\$)	116	390	216	195	Extension for r	Extension for reply within second month		
101 750 201 375 Utility filing fee	117	890	217	446	Extension for a	Extension for reply within third month		
106 330 206 165 Design filing fee	118	1.390	218	695		Extension for reply within fourth month		
107 490 207 245 Plant filing fee	128	1.890	228	945		Extension for reply within fifth month \$0		
108 750 208 375 Reissue filling fee	119 120	310 310	219 220	155 155	Notice of Appeal \$0 Filling a brief in support of an appeal \$0			\$0
114 (OU 214 BU Provisional minutes 1380)	121	270	221	135	Request for or		3d1	\$0
SUBTOTAL (1) \$ 80.00	138	1,510	138	1,510	•	etition to institute a public use proceeding \$0		
,	140	110	240	55		retilion to revive – unavoidable \$0		
2. EXTRA CLAIM FEES	141	1,240	241	620		Petition to revive – unintentional 80		
Fee from Extra Claims below Fee Paid	142	1,240	- 242	620		Allity Issue tee (or reissue) \$0		
Total Clams 0 -20**= 0 X \$18 = \$0.00	143	440	243	220	Design Issue f	tesign issue fee \$0		
	144	600	244	300	Plant issue fee			
Independent 0 -3**= 0 X \$84 = \$0.00	122 123	130	122	130		Petitions to the Commissioner \$0		
Multiple Dependent .		50 240	123 126	50 240		etitions related to provisional applications ubmission of Information Disclosure Strnt \$0		
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40		Recording each patent assignment per soroperty (times number of properties)		
103 18 203 9 Claims in excess of 20	146	710	246	355		Filing a submission after final rejection \$0		
102 84 202 42 Independent claims in excess of 3	149	710	249	355	For each addit	or each additional invention to be xamined (37 CFR § 1.129(b))		
104 270 204 135 Multiple dependent claim. Il not cald	179	710	279	355	Request for Co	Request for Continued Examination (RCE) \$0		
109 80 209 40 over original patent	169	900	169	900		Request for expedited examination so		
110 18 210 9 ** Relssue dalms in excess of 20 and over original patent	Other	so fee (specify)				\$0		
SUBTOTAL(2) \$0.00	* Redu	ced by Basic	Filing Fee	Paid Paid		SUBTOTAL	. (3)	\$0.00
SUBMITTED BY . Complete (if applicable)								
Name (Print/Type) Bruce A. Johnson	Regi: (Atto	Istration No. 37361 Tetaphane 512-301-99				9900		
	J					4/40/00		

VARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/35 (11-00)
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Inventor		Ronald Timpe				
Title PROCESS FOR PRODUCING HIGH- PRESSURE HYDROGEN						
Attorne	y Docket No.	EER.P0017P				

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

April 16, 2003 Date

Bruce A Johnson

Name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the Individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

United States Provisional Patent Application for

PROCESS FOR PRODUCING HIGH-PRESSURE HYDROGEN

Inventors:
Ronald Timpe
Ted Aulich

10 Field of Invention

5

15

20

35

40

The present invention is a process for producing high-pressure hydrogen from natural gas, methanol, ethanol, and other fossil fuel-derived and renewable hydrocarbon resources. The process can produce hydrogen at pressures ranging from 500 to 10,000 pounds per square inch (psi) using 1) a hydrocarbon-based hydrogen carrier; 2) "supercritical" water, which refers to water maintained at or above its supercritical temperature and pressure requirements of at least 375°C and 3200 psi, respectively; and 3) an appropriate catalyst. In work performed using either natural gas (methane) or methanol as hydrogen carrier, the process yielded gaseous products with hydrogen concentrations of up to 19 mole percent (mol%) and 66 mol%, respectively, neither of which are meant to be the limit expected under optimal conditions. With methanol as hydrogen source, nonhydrogen product gas constituents included carbon dioxide, carbon monoxide, methane, ethane, and nitrogen. With methane as hydrogen source, nonhydrogen product gas constituents included methane, nitrogen, oxygen, ethane, and no detectable carbon monoxide.

One application of the process is for on-demand production of high-pressure hydrogen for fuel cells, including proton exchange membrane (PEM) fuel cells used for powering transportation vehicles, and PEM and other fuel cells used for other mobile and stationary power production purposes. Utilizing the process for PEM fuel cell applications may require integration with a hydrogen purification technology, since PEM fuel cells require low-contaminant-level hydrogen for trouble-free operation and maximum power density. Upon successful integration with a hydrogen purification technology, the process will hold two significant advantages over other technologies being pursued for producing hydrogen for fuel cell vehicles: 1) elimination of the need for expensive hydrogen compression and 2) significant reduction of the need for expensive storage of high-pressure hydrogen.

Problem, Background, and Theory

A key challenge to transitioning the U.S. transportation economy from the gasoline- and diesel-powered internal combustion engine to the hydrogen-powered fuel cell is lack of an economically viable means of supplying fuel cell-quality hydrogen. Two basic hydrogen supply options are on-board-vehicle extraction of hydrogen from hydrocarbon fuels or on-board-vehicle storage of hydrogen produced and dispensed at a stationary facility. Within these two basic options, numerous specific variations are being studied and/or developed, including, but not limited to 1) on-board-vehicle extraction of hydrogen from gasoline, diesel fuel, naphtha, and

Attorney Docket No.: EER.P0017P Page 1 of 6 Exp. Mail. No.: EO 900 723 414 US

methanol; 2) fuel station site hydrogen production via steam methane (natural gas) reforming (SMR) or other hydrocarbon-based processes; 3) fuel station site hydrogen production via electrolysis of water; 4) centrally produced (via large-scale SMR, electrolysis, and other processes) hydrogen delivered to a fuel station by truck or pipeline; and 5) other supply scenarios involving hydrogen production via photochemical, gasification, nuclear, biomass-based, biological, and solar-, wind-, and hydro-powered methods.

Today, SMR is the most common and least expensive method of hydrogen production, accounting for about 95% of the hydrogen produced in the United States. In SMR, methane is reacted with steam to produce a mixture of hydrogen, carbon dioxide, carbon monoxide, and water, and the mixture is separated to yield high-purity hydrogen. Because of its status as a mature, reliable, economically viable technology, major industrial companies are developing hydrogen vehicle refueling station concepts based on the use of on-site SMR, which involves scaling the process down significantly from its most common commercial application of producing hydrogen at petroleum refineries for use in making cleaner-burning gasoline. Key challenges associated with on-site hydrogen generation derive from the unpredictable demands of vehicle fueling. Because SMR works best at steady-state, 24-hours-per-day, full-capacity operation, integration with a hydrogen fuel station will require on-site hydrogen compression and storage—as a gas, a liquid, or in a chemical compound—to compensate for fluctuating hydrogen demand. Because of its small molecular size, hydrogen is much more difficult and expensive to compress than natural gas, and none of the hydrogen storage technologies available today represent an ideal combination of economy, performance, durability, and safety. The present invention offers a means to produce high-pressure hydrogen, thereby eliminating the need for expensive hydrogen compression. In addition, the present invention will be compatible with ondemand production of high-pressure hydrogen, which will translate to a greatly diminished hydrogen storage volume requirement versus SMR and other demand-unresponsive processes.

General Description

5

10

15

20

25

The present invention is similar to SMR in that both processes involve the use of natural gas as a hydrogen carrier, water as a reactant, and a catalyst to promote methane reforming to hydrogen and carbon dioxide. Two key differences between the two processes are the temperature and pressure ranges utilized in the reforming reaction. In the present invention, the reactor is maintained within temperature and pressure ranges of 375°-600°C and 3200-10,000 psi, respectively, whereas SMR utilizes reaction temperatures and pressures ranging from about 700°-950°C and 300-600 psi, respectively. A third key difference is that the present invention can be utilized with hydrogen carriers other than natural gas/methane, including—but not limited to—propane, butane, methanol, and ethanol. Figure 1 is a schematic diagram of a reactor system utilized in developing the present invention. As outlined in Figure 1, the reactor system can be configured to extract hydrogen from a liquid or gaseous, water-soluble or water-insoluble hydrogen carrier.

Attorney Docket No.: EER.P0017P

Page 2 of 6

. Exp. Mail. No.: EO 900 723 414 US

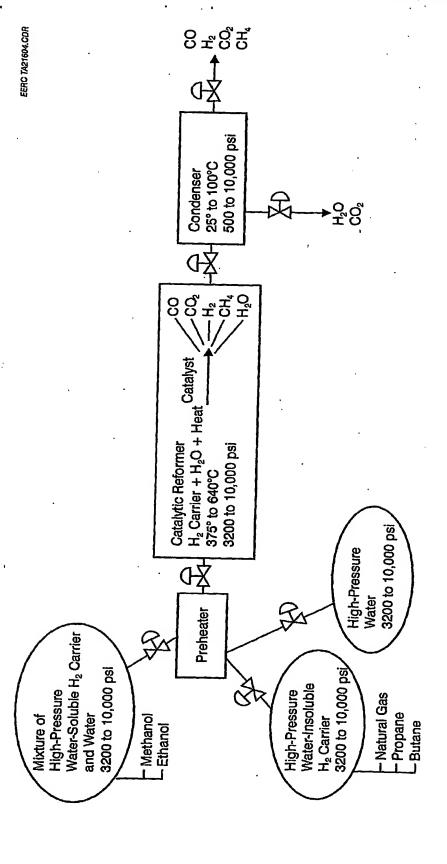


Figure 1. Process for production of high-pressure hydrogen.

In utilizing natural gas or another water-insoluble hydrogen carrier, high-pressure water and natural gas are separately injected into the preheater at an appropriate water-to-hydrogen carrier molar ratio. Tests conducted to date have utilized water-to-hydrogen carrier molar ratios ranging from 0.5:1 to 4:1. In general, for water-based reforming of any hydrogen carrier, utilization of a water-to-hydrogen carrier molar ratio of at least 1:1 ensures availability of sufficient oxygen to guard against undesired carbon build-up on catalyst used to promote the reforming reaction. However, minimal usage of supercritical water is desired to minimize process energy input, and lower water-to-hydrogen carrier molar ratios may be achievable with improved reforming catalysts. Following mixing and heating in the preheater, the reactant mixture flows into the reformer, which comprises a tubular reactor containing a commercially available reforming catalyst. The process is compatible with continuous-flow operation, and residence time in the reformer is a function of pressure and flow rate through the reformer.

Reformate products exit the reformer and flow into the condenser, in which water and a portion of the carbon dioxide product are condensed. Pressure in the condenser and pressure of the product gas stream exiting the condenser can be maintained at or near pressure in the reformer (or lower, if desired) by adjustment of the control valve at the condenser exit. In utilizing methanol or another water-soluble hydrogen carrier, a mixture of methanol and water is pressurized and injected into the preheater at an appropriate water-to-hydrogen carrier molar ratio. From the preheater on downstream through the reactor system, process steps and conditions are identical to those utilized for natural gas.

Specific Example: Hydrogen Production from Natural Gas

5

10

15

20

25

30

35

40

Table 1 presents compositional data on four different product gas samples collected during a series of tests utilizing natural gas/methane as hydrogen carrier. All tests employed an approximate 4:1 water-to-methane molar ratio and a reformer temperature and pressure of about 440°C and 3500 psi, respectively. The first column of data is for a sample collected from a continuous-process test in which residence time in the reformer was about 2 seconds. The three remaining data sets are for tests in which reformer residence time was increased by operating the reactor system in batch mode, with the objective of investigating the effect of residence time on product gas composition. Estimated residence time for Batch 1-3 tests was about 3, 10, and 15 minutes, respectively. The batch test results indicate that—under the conditions evaluated residence time has no effect on product gas composition. Of primary significance in the natural gas results is the consistent absence of carbon monoxide in the product gas. With the gas chromatography-based analytical procedure employed for product gas characterization, the detection limit for carbon monoxide is about 100 parts per million (ppm), which means that if carbon monoxide is present in the product gas, it is present at a concentration of below 100 ppm. Carbon monoxide is one of two contaminants of major concern regarding hydrogen for PEM fuel cell applications (the other being sulfur species), which means that its absence from the product gas stream represents a significant process advantage.

Attorney Docket No.: EER.P0017P Page 4 of 6 Exp. Mail. No.: EO 900 723 414 US

Table 1 - High-Pressure Hydrogen from Natural Gas-Product Gas Composition

Sample	Continuous 1	Batch 1	Batch 2	Batch 3	
Hydrogen, mol%	19.3	19.9	16.9	16.4	
Carbon Dioxide, mol%	7.6	8.6	5.1	6.3	
Methane, mol%	72.6	70.1	76.6	75.7	
Ethane, mol%	0.4	1.1	1.2	1.4	
Propane, mol%	0.0	0.3	0.0	0.1	
cis-2-Butene, mol%	0.0	0.0	0.0	0.0	
Carbon Monoxide, mol%*	0.0	0.0	0.0	0.0	

^{*} Analytical detection limit for carbon monoxide was 100 ppm.

Specific Example: Hydrogen Production from Methanol

Table 2 presents compositional data on four different product gas samples collected during a series of tests utilizing methanol as hydrogen carrier. All tests employed an approximate 1:1 water-to-methanol molar ratio and a reformer temperature and pressure of about 380°C and 3200 psi, respectively. The first two data columns are for samples collected from continuous-process tests in which residence time in the reformer was about 2 seconds. The two remaining data sets are for tests in which reformer residence time was increased by operating the reactor system in batch mode, with the objective of investigating the effect of residence time on product gas composition. Estimated residence time for Batch 1 and 2 tests was about 3 to 5 minutes. The batch test results indicate that—under the conditions evaluated—residence time has a measurable effect on product gas composition, most significantly on level of hydrogen and carbon dioxide.

Table 2 - High-Pressure Hydrogen from Methanol—Product Gas Composition

Sample	Continuous 1	Continuous 2	Batch 1	Batch 2
Hydrogen, mol%	65.1	66.4	72.4	69:7
Carbon Dioxide, mol%	23.9	23.8	16.8	17.1
Methane, mol%	. 6.5	5.4	4.9	7.6
Ethane, mol%	0.1	0.1	0.1	0.0
Propane, mol%	0.0	0.0	0.0	0.0
cis-2-Butene, mol%	0.1	0.1	0.5	0,4
Carbon Monoxide, mol%	4.3	4.2	5.4	5.2

25

5

15

Outline of the Invention

5

25

30

This invention is a method for the production of high-pressure hydrogen for use in PEM and other fuel cell applications. An example of the invention includes the steps of:

- Injection of supercritical water and high-pressure water-soluble or water-insoluble hydrogen carrier into a catalytic reformer, using the following exemplary materials, proportions, and reaction conditions:
 - a) Hydrogen carrier natural gas, propane, butane, methanol, ethanol, or other.
 - b) Water-hydrogen carrier molar ratio 0.1:1 to 4:1
- 10 c) Reforming reaction temperature 375° to 640°C
 - d) Reforming reaction pressure 3200 to 10,000 psi
 - e) Residence time in reformer 0.5 to 360 seconds
 - 2) Generation of hydrogen, carbon dioxide, and other reformate gas species.
 - 3) Condensation to remove water and a portion of carbon dioxide.
- 15 4) Recovery of high-pressure hydrogen and other reformate gas species.

Following is an outline of various examples of features of the invention. Note that other techniques and embodiments are also possible within the spirit and scope of the invention.

- 20 A) Materials, Proportions, and Reaction Conditions that Demonstrated Desired Results
 - 1) Natural gas as hydrogen carrier
 - a) Water-methane molar ratio 4:1
 - b) Reforming reaction temperature 440°C
 - c) Reforming reaction pressure 3500 psi
 - d) Residence time in reformer about 2 seconds
 - 2) Methanol as hydrogen carrier
 - a) Water-methanol molar ratio 1:1
 - b) Reforming reaction temperature 380°C
 - c) Reforming reaction pressure 3200 psi
 - d) Residence time in reformer about 2 seconds
 - B) Other Hydrogen Carriers to Which Invention May Be Applied (Partial List)
 - 1) Propane
 - 2) Butane
 - 3) Ethanol
- 35 4) Naphtha
 - 5) Ammonia

Exp. Mail. No.: EO 900 723 414 US